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FEB 26 2007

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**STATEMENT UNDER 37 CFR 3.73(b) 7167-116**

Applicant/Patent Owner: Masashi Watanabe

Application No./Patent No.: 10/589,221 Filed/Issue Date: August 11, 2006

Entitled: Method and Apparatus for Cryptographically Processing Data

IVI Smart Technologies, Inc., a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest.  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a true copy of the original assignment is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Masashi Watanabe To: Psycrypt, Inc.  
The document was recorded in the United States Patent and Trademark Office at Reel 018295, Frame 0765, or for which a copy thereof is attached.
2. From: Psycrypt, Inc. To: Taro Arakawa  
The document was recorded in the United States Patent and Trademark Office at Reel 018356, Frame 0383, or for which a copy thereof is attached.
3. From: Taro Arakawa To: IVI Smart Technologies, Inc.  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.  
(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO.)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Mary Grace  
Signature

2/27/07  
Date

Mary Grace  
Printed or Typed Name

\_\_\_\_\_  
Telephone Number

President and CEO  
Title

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FEB 26 2007

Docket No. IVI-P001

## ASSIGNMENT

WHEREAS I, Taro Arakawa, a citizen of Japan, having a principal place of business in the United States at 2635 N. First St., Suite 144, San Jose, CA 95134, am the assignee of all remaining assets of dissolved California corporation PSYCRYPT, INC., including the entire right, title and interest in and to an invention entitled *Method and Apparatus for Cryptographically Processing Data*, for which an international patent application was filed under the Patent Cooperation Treaty on February 13, 2004, and which was duly assigned International Patent Application No. PCT/US2004/004117 and published as International Patent Publication No. WO 2005/088893 A1 on September 22, 2005, as evidenced in attached Exhibits A, B and C;

WHEREAS IVI Smart Technologies, Inc., a Delaware Corporation having a place of business at 437 Madison Ave., 39th Floor, New York, NY 10022, is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to any Letters Patent to be granted and issued therefore in the United States of America, its territories and possessions, and all countries and territories foreign thereto, together with the right to sue for back damages;

NOW, THEREFORE, for a valuable consideration, the entire receipt of which is hereby acknowledged, I, Taro Arakawa, do hereby sell, assign, transfer and set over unto the said IVI Smart Technologies, Inc., its successors and assigns, all my right, title and interest in and to said invention, and in and to any and all Letters Patent to be granted and issued therefore, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries foreign thereto, including all priority rights under international conventions and the right to sue for back damages, and I hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said IVI Smart Technologies, Inc., its successors and assigns, in accordance with this assignment.



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Docket No. IVI-P001

ASSIGNMENT CONTINUATION

Re: International Patent Application No. PCT/US2004/004117  
Entitled: "Method and Apparatus for Cryptographically Processing Data"  
Assignee: Taro Arakawa  
International Filing Date: February 13, 2004

WITNESS MY HAND at San Jose, California, this 22 day of  
February, 2006

  
Taro Arakawa

STATE OF CALIFORNIA

COUNTY OF San Jose

On this \_\_\_\_\_ day of \_\_\_\_\_, 2006 before me, \_\_\_\_\_, a  
Notary Public, personally appeared Taro Arakawa, personally known to me (or proved to me on the basis  
of satisfactory evidence) to be the person/s whose name is/are subscribed to the within instrument and  
acknowledged to me that he/she/they executed the same in his/her/their authorized capacities, and that by  
his/her/their signature/s on the instrument the person/s or the entity upon behalf of which the person/s  
acted, executed the instrument.

WITNESS my hand and official seal.

\_\_\_\_\_  
NOTARY PUBLIC

Exhibit A: Original Assignment of International Patent Application No. PCT/US2004/004117 from  
Masashi Watanabe  
Exhibit B: Certificate of Dissolution of Psycrypt, Inc.  
Exhibit C: Confirmation of Assignment by Taro Arakawa